A Response to the "Data or Dogma?" hearing

On December 8, 2015, Senator Ted Cruz – the chairman of the Senate subcommittee on Space, Science, and Competitiveness – convened a hearing entitled "Data or Dogma?" The stated purpose of this event was to promote "...open inquiry in the debate over the magnitude of human impact on Earth's climate" (1). In the course of the hearing, the chairman and several expert witnesses claimed that satellite temperature data falsify both "apocalyptic models" and findings of human effects on climate by "alarmist" scientists. Such accusations are serious but baseless. The hearing was more political theatrics than a deep dive into climate science.

Satellite-derived temperature data were a key item of evidence at the hearing. One of the witnesses^a for the majority side of the Senate subcommittee showed the changes (over roughly the last 35 years) in satellite- and weather balloon-based measurements of the temperature of the mid-troposphere (TMT), a layer of the atmosphere extending from the Earth's surface to roughly 18 km (2). Satellite TMT measurements are available from late 1978 to present. Observed TMT data were compared with TMT estimates from a large number of model simulations. This comparison was 'Exhibit A' for the majority side of the subcommittee.

Senator Cruz used Exhibit A as the underpinning for the following chain of arguments: 1) Satellite TMT data do not show any significant warming over the last 18 years, and are more reliable than temperature measurements at Earth's surface; 2) The apparent "pause" in tropospheric warming is independently corroborated by weather balloon temperatures; 3) Climate models show pronounced TMT increases over the "pause" period; and 4) The mismatch between modeled and observed tropospheric warming in the early

^aProf. John Christy from the University of Alabama at Huntsville.

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21st century has only one possible explanation – computer models are a factor of three too sensitive to human-caused changes in greenhouse gases (GHGs). Based on this chain of reasoning, Senator Cruz concluded that satellite data falsify all climate models, that the planet is not warming, and that humans do not impact climate.

This logic is wrong. First, satellites do not provide direct atmospheric temperature: of measurements they thermometers in space. The satellite TMT data plotted in Exhibit A were obtained from so-called Microwave Sounding Units (MSUs), which measure the microwave emissions of oxygen molecules from broad atmospheric layers (2-4). b Converting this information to estimates of temperature trends has substantial uncertainties.^c The major uncertainties arise because the satellite TMT record is based on measurements made by over 10 different satellites, most of which experience orbital decay (5) and orbital drift (6-8) over their lifetimes. These orbital changes affect the measurements of microwave emissions, primarily due to gradual shifts in the time of day at which measurements are made. As the scientific literature clearly documents, the adjustments for such shifts in measurement time are large, d and involve many subjective decisions (2-4, 6-8). Further adjustments to the raw data are necessary for drifts in the on-board calibration of the microwave measurements (9, 10), and for the

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^bMSU estimates of the temperature of tropospheric layers also receive a small contribution from the temperature at Earth's surface.

^cThis conversion process relies on an atmospheric radiation model to invert the observations of outgoing, temperature-dependent microwave emissions from oxygen molecules. Since oxygen molecules are present at all altitudes, the microwave flux that reaches the satellite is an integral of emissions from thick layers of the atmosphere.

^dAt the end of the hearing, Senator Cruz questioned the reliability of thermometer measurements of land and ocean surface temperature, and highlighted the large adjustments to "raw" surface temperature measurements (adjustments which are necessary because of such factors as changes over time in thermometers and measurement practices). He did not mention that the surface temperature adjustments are typically much smaller than the adjustments to "raw" MSU data (2, 3, 8).

transition between earlier and more sophisticated versions of the MSUs.^e

In navigating through this large labyrinth of necessary adjustments to the raw data, different plausible adjustment choices lead to a wide range of satellite TMT trends (2-10). This uncertainty has been extensively studied in the scientific literature, but was completely ignored in the discussion of Exhibit A by Senator Cruz and by witnesses for the majority side of the subcommittee (2-15). The majority side was also silent on the history of satellite temperature datasets. For example, there was no mention of the fact that one group's analysis of satellite temperature data – an analysis indicating cooling of the global troposphere – was repeatedly found to be incorrect by other research groups (2, 3, 5-10).

Such corrective work is ongoing. Satellite estimates of atmospheric temperature change are still a work in progress (2, 3, 8), and the range of estimates produced by different groups remains large. The same is true of weather balloon atmospheric temperature measurements (2, 11-13, 15-17). Surface thermometer records also have well-studied uncertainties (2, 19, 20), but the estimated surface warming of roughly 0.9°C since 1880 has been independently confirmed by multiple research groups (2, 15, 19, 20).

The hearing also failed to do justice to the complex issue of how to interpret differences between observed and model-simulated tropospheric warming over the last 18 years. Senator Cruz offered only one possible interpretation of these differences – the existence of large, fundamental errors in model physics (2, 21). In addition to this possibility, there are at least three other plausible explanations

^eThis transition occurred in 1998, at the beginning of the 18-year "no significant warming" period highlighted by Senator Cruz.

^fFor example, over the longer 1979 to 2014 analysis period, tropospheric warming is a robust feature in all observational TMT datasets. For shorter, noisier periods (such as 1996 to 2014), the sign of the TMT trend is sensitive to dataset construction uncertainties.

⁹Disappointingly, Exhibit A neglects to show at least one weather balloon temperature dataset with substantial tropospheric warming over the last 18 years (18).

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for the warming rate differences shown in Exhibit A: errors in the human (22-25), volcanic (26-30), and solar influences (24, 31) used as input to the model simulations; errors in the observations (discussed above) (2-20); and different sequences of internal climate variability in the simulations and observations (23, 24, 30, 32-36). We refer to these four explanations as "model physics errors", "model input errors", "observational errors", and "different variability sequences". They are not mutually exclusive. There is hard scientific evidence that all four of these factors are in play (2-20, 22-36).

"Model input errors" and "different variability sequences" require a further explanation. some little Let's assume that extraterrestrial intelligence provided humanity with two valuable gifts: a perfect climate model, which captured all of the important physics in the real-world climate system, and a perfect observing system, which reliably measured atmospheric temperature changes over the last 18 years. Even with such benign alien intervention, temperature trends in the perfect model and perfect observations would diverge if there were errors in the inputs to the model simulations, or if the purely random sequences of internal climate oscillations did not "line up" in the simulations and in reality (23, 24, 30, 32-36).

In short, "all models are too sensitive to CO₂" is not the only valid explanation for the model-data differences in Exhibit A (2, 11, 13, 18, 22-24, 26, 30, 32-38). Dozens of peer-reviewed scientific studies show that the other three explanations presented here ("model input errors", "observational errors", and "different variability sequences")

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^hSuch as leaving out volcanic cooling influences that the real world experienced (23, 24, 26-30). ⁱThe model results shown in Exhibit A are from so-called "historical climate change" simulations. These simulations involve changes in a number of different human and natural influences (e.g., human-caused changes in GHG levels and particulate pollution, and natural changes in solar and volcanic activity). They are <u>not</u> simulations with changes in GHG levels only, so it is incorrect to interpret the model-versus-observed differences in Exhibit A solely in terms of model sensitivity to GHG increases.

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are the primary reasons for most or all of the warming rate differences in Exhibit A.

110 But what if climate models really were a factor of three or more too sensitive to human-caused GHG increases, as claimed by the 111 majority side of the subcommittee? The telltale signatures of such a 112 serious climate sensitivity error would be evident in many different 113 comparisons with observations, and not just over the last 18 years. 114 We'd expect to see the imprint of this large error in comparisons with 115 observed surface temperature changes over the 20th century (37-42). 116 and in comparisons with the observed cooling after large volcanic 117 eruptions (30, 43, 44). We don't. There are many cases where 118 observed changes are actually larger than the model expectations 119 (41, 42), not smaller. 120

In assessing climate change and its causes, examining one individual 18-year period is poor statistical practice, and of limited usefulness. Analysts would not look at the record of stock trading on a particular day to gain reliable insights into long-term structural changes in the Dow Jones index. Looking at behavior over decades — or at the statistics of trading on all individual days — provides far greater diagnostic power. In the same way, climate scientists study changes over decades or longer (39-42, 45), or examine all possible trends of a particular length (23, 38, 46-48). Both strategies reduce the impact of large, year-to-year natural climate variability^k on trend estimates. The message from this body of work? Don't cherry-pick; look at all the evidence, not just the carefully selected evidence that supports a particular point of view.

^jAnother incorrect claim made at the hearing was that the mainstream scientific community had failed to show the kind of comparisons model-data comparisons presented in Exhibit A. Results similar to those in Exhibit A have been presented in many other peer-reviewed publications (2, 13, 18, 23, 24, 30, 32, 35, 38, 46, 48).

^kSuch as the variability associated with unusually large El Niño and La Niña events, which yield unusually warm or cool global-mean temperatures (respectively). The El Niño event during the winter of 1997 and spring of 1998 was likely the largest of the 20th century, and produced a large warming "spike" in surface and tropospheric temperatures.

In summary, the finding that human activities have had a discernible 134 135 influence on global climate is not falsified by the supposedly "hard data" in Senator Cruz's Exhibit A. The satellite data and weather 136 balloon temperatures are not nearly as "hard" as they were portrayed 137 138 in the hearing. Nor is a very large model error in the climate 139 sensitivity to human-caused GHG increases the only or the most 140 plausible explanation for the warming rate differences in Exhibit A. 141 Indeed, when the observational temperature datasets in Exhibit A are examined over their full record lengths – and not just over the last 18 142 years – they provide strong, consistent scientific evidence of human 143 effects on climate (41, 42, 48). So do many other independent 144 observations of changes in temperature, the hydrological cycle, 145 atmospheric circulation, and the cryosphere (41, 42). 146

Climate policy should be formulated on the basis of both the best-147 available scientific information and the best-possible analysis and 148 interpretation. Sadly, neither was on display at the Senate hearing on 149 "Data or Dogma?" There was no attempt to provide an accurate 150 151 assessment of uncertainties in satellite data, or to give a complete 152 and balanced analysis of the reasons for short-term differences between modeled and observed warming rates. Political theater 153 trumped true "open inquiry". 154

Climate change is a serious issue, demanding serious attention from 155 our elected representatives in Washington. The American public 157 deserves no less.

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